

Name of the Trading Licensee: National Energy Trading And Services Limited  
Licence Details (No & Date) : Licence No.7/Trading/CERC dated: 23rd July 2004  
Month: October-2023

| Summary Sheet for October, 2023 |          |   |   |
|---------------------------------|----------|---|---|
| Sr. No.                         | Formats  | Type of Transactions  | Transaction Status/Contract Information (Yes/No)* |
| 1                               | FormIV-A | Short-term Inter-State Transactions of Electricity by Trading Licensees (RTC)       | NO  |
| 2                               | FormIV-B | Short-term Inter-State Transactions of Electricity by Trading Licensees (Peak)      | NO  |
| 3                               | FormIV-C | Short-term Inter-State Transactions of Electricity by Trading Licensees (Off-Peak)  | NO  |
| 4                               | FormIV-D | Long-term Inter-State Transactions of Electricity by Trading Licensees              | NO  |
| 5                               | FormIV-E | Intra-State Transactions of Electricity by Trading Licensees                        | NO  |
| 6                               | FormIV-F | Day Ahead Power Exchange Transactions of Electricity by Trading Licensees           | NO  |
| 7                               | FormIV-G | Term Ahead Power Exchange Transactions of Electricity by Trading Licensees          | NO  |
| 8                               | FormIV-H | Renewable Energy Certificates (REC) Trading on Power Exchange by Trading Licensees  | NO  |
| 9                               | FormIV-I | Open Position of Inter-State Electricity Contracts by Trading Licensees             | NO  |
| 10                              | FormIV-K | Electricity transacted by Trading Licensees in Real Time Market on Power Exchanges  | NO  |
| 11                              | FormIV-L | Electricity transacted by Trading Licensees in in Green Term-Ahead Market           | NO  |
| 12                              | FormIV-M | Energy Saving Certificates (ESCerts) Trading on Power Exchange by Trading Licensees | NO  |
| 13                              | FormIV-N | Electricity transacted by Trading Licensees in in Green Day-Ahead Market            | NO  |
| 14                              | FormIV-0 | Electricity transacted by Trading Licensees in in High Price Day Ahead (HP-DAM)     | NO  |
| 15                              | FormIV-P | Electricity transacted by Trading Licensees in in Green Day-Ahead Market            | NO  |

*\*If the transaction status is "Yes", you are required to submit the details in the prescribed format/s as provided in the trading regulations. If the transaction status is "No/Nil" then you need not submit that format/s with No/NIL reports.*

**Short-term Inter-State Transactions of Electricity by Trading Licensees ( RTC\*)**

Name of the Trading Licensee: National Energy Trading And Services Limited

Licence Details (No & Date) : Licence No.7/Trading/CERC dated: 23rd July 2004

Month: October-2023

| Sr. No  | Summary Sheet for October, 2023 |                        | Time of Power Delivery |                  | Scheduled Volume (MUs) | Purchased from     |          |       | Sold to           |          |       | Purchase Price (₹/Kwh) | Sale Price (₹/kwh) | Trading Margin (₹/kwh) |
|---|---------------------------------|------------------------|------------------------|------------------|------------------------|--------------------|----------|-------|-------------------|----------|-------|------------------------|--------------------|------------------------|
|   | Start date (DD/MM/ YYYY)        | End date (DD/MM/ YYYY) | Start Time (HH:MM)     | End Time (HH:MM) |                        | Name of the Seller | Category | State | Name of the Buyer | Category | State |                        |                    |                        |
| <b>A Inter State Trading Transactions</b>                             |                                 |                        |                        |                  |                        |                    |          |       |                   |          |       |                        |                    |                        |
| 1   | NIL                             |                        | 0:00                   | 24:00            |                        |                    |          |       |                   |          |       |                        |                    |                        |
| 2   |                                 |                        | 0:00                   | 24:00            |                        |                    |          |       |                   |          |       |                        |                    |                        |
| 3   |                                 |                        | 0:00                   | 24:00            |                        |                    |          |       |                   |          |       |                        |                    |                        |
| 4   |                                 |                        | 0:00                   | 24:00            |                        |                    |          |       |                   |          |       |                        |                    |                        |
| 5   |                                 |                        | 0:00                   | 24:00            |                        |                    |          |       |                   |          |       |                        |                    |                        |
| 6   |                                 |                        | 0:00                   | 24:00            |                        |                    |          |       |                   |          |       |                        |                    |                        |
|   |                                 |                        |                        |                  | <b>TOTAL</b>           | <b>0.000000</b>    |          |       |                   |          |       |                        |                    |                        |
| <b>B Inter State Trading Transactions through Banking Arrangement</b> |                                 |                        |                        |                  |                        |                    |          |       |                   |          |       |                        |                    |                        |
| 1   | NIL                             |                        | 0:00                   | 24:00            |                        |                    |          |       |                   |          |       |                        |                    |                        |
| 2   |                                 |                        | 0:00                   | 24:00            |                        |                    |          |       |                   |          |       |                        |                    |                        |
| 3   |                                 |                        | 0:00                   | 24:00            |                        |                    |          |       |                   |          |       |                        |                    |                        |
| <b>C Cross Border Transactions</b>                                    |                                 |                        |                        |                  |                        |                    |          |       |                   |          |       |                        |                    |                        |
| 1   | NIL                             |                        | 0:00                   | 24:00            |                        |                    |          |       |                   |          |       |                        |                    |                        |
| 2   |                                 |                        | 0:00                   | 24:00            |                        |                    |          |       |                   |          |       |                        |                    |                        |
| 3   |                                 |                        | 0:00                   | 24:00            |                        |                    |          |       |                   |          |       |                        |                    |                        |

\*RTC= Round The Clock (24 Hours i.e. from 00:00 to 24:00)

Form IV-B

**Short-term Inter-State Transactions of Electricity by Trading Licensees ( Peak\*)**

Name of the Trading Licensee: National Energy Trading And Services Limited

Licence Details (No & Date) : Licence No.7/Trading/CERC dated: 23rd July 2004

Month: October-2023

| Sr. No  | Summary Sheet for October, 2023 |                        | Time of Power Delivery |                  | Scheduled Volume (MUs) | Purchased from     |          |       | Sold to           |          |       | Purchase Price (₹/Kwh) | Sale Price (₹/kwh) | Trading Margin (₹/kwh) |
|---|---------------------------------|------------------------|------------------------|------------------|------------------------|--------------------|----------|-------|-------------------|----------|-------|------------------------|--------------------|------------------------|
|   | Start date (DD/MM/ YYYY)        | End date (DD/MM/ YYYY) | Start Time (HH:MM)     | End Time (HH:MM) |                        | Name of the Seller | Category | State | Name of the Buyer | Category | State |                        |                    |                        |
| <b>A Inter State Trading Transactions</b>                             |                                 |                        |                        |                  |                        |                    |          |       |                   |          |       |                        |                    |                        |
| 1   | NIL                             |                        | 17:00                  | 23:00            |                        |                    |          |       |                   |          |       |                        |                    |                        |
| 2   |                                 |                        | 17:00                  | 23:00            |                        |                    |          |       |                   |          |       |                        |                    |                        |
| 3   |                                 |                        | 17:00                  | 23:00            |                        |                    |          |       |                   |          |       |                        |                    |                        |
|   |                                 |                        | <b>TOTAL</b>           |                  | <b>0</b>               |                    |          |       |                   |          |       |                        |                    |                        |
| <b>B Inter State Trading Transactions through Banking Arrangement</b> |                                 |                        |                        |                  |                        |                    |          |       |                   |          |       |                        |                    |                        |
| 1   | NIL                             |                        | 17:00                  | 23:00            |                        |                    |          |       |                   |          |       |                        |                    |                        |
| 2   |                                 |                        | 17:00                  | 23:00            |                        |                    |          |       |                   |          |       |                        |                    |                        |
| 3   |                                 |                        | 17:00                  | 23:00            |                        |                    |          |       |                   |          |       |                        |                    |                        |
| <b>C Cross Border Transactions</b>                                    |                                 |                        |                        |                  |                        |                    |          |       |                   |          |       |                        |                    |                        |
| 1   | NIL                             |                        | 17:00                  | 23:00            |                        |                    |          |       |                   |          |       |                        |                    |                        |
| 2   |                                 |                        | 17:00                  | 23:00            |                        |                    |          |       |                   |          |       |                        |                    |                        |
| 3   |                                 |                        | 17:00                  | 23:00            |                        |                    |          |       |                   |          |       |                        |                    |                        |

\*Peak= Peak Period (Evening Peak from 17 :00hrs to 23 :00hrs). Peak period may be revised by the Commission from time to time





Form IV-E

**Intra-State Transactions of Electricity by Trading Licensees**

Name of the Trading Licensee: National Energy Trading And Services Limited

Licence Details (No & Date) : Licence No.7/Trading/CERC dated: 23rd July 2004

Month: October-2023

| Sr. No.                        | Total Transacted Volume (MUs) | State |
|--------------------------------|-------------------------------|-------|
| <b>Short Term transactions</b> |                               |       |
| 1                              | 0.000000                      |       |
|                                | 0.000000                      |       |
|                                |                               |       |
|                                |                               |       |
| <b>Long Term Transactions</b>  |                               |       |
| 1                              | NIL                           | NIL   |
| 2                              |                               |       |
| 3                              |                               |       |
| 4                              |                               |       |

**Day Ahead Power Exchange Transactions of Electricity by Trading Licensees**

Name of the Trading Licensee: National Energy Trading And Services Limited

Licence Details (No & Date) : Licence No.7/Trading/CERC dated: 23rd July 2004

Month: October-2023

| Sr. No | Date of Delivery | Total Scheduled Volume (MUs) for each client | Purchased from                |       | Sold to                    |       |
|--------|------------------|--|-------------------------------|-------|----------------------------|-------|
|        |                  |  | Name of Seller/<br>Name of PX | State | Name of Buyer / Name of PX | State |
|        |                  |  |                               |       |                            |       |
|        |                  |  |                               |       |                            |       |
|        |                  |  |                               |       |                            |       |
|        |                  |  |                               |       |                            |       |
|        |                  | <b>0.000000</b>                              |                               |       |                            |       |

*Note: Trading Margin is charged for each 15 minutes contract separately. However, the reporting has been made on daywise basis inlight of the large volume of data.*

Form IV-F Margin

| Sr. No | Name of the Client | Indian Energy Exchange  | PXIL  |
|--------|--------------------|---|---|
|        |                    | Margin charged (₹/kWh)[ trading margin of not less than zero (0.0) paise/kWh and not exceeding seven (7.0) paise/kWh] | Margin charged (₹/kWh)[ trading margin of not less than zero (0.0) paise/kWh and not exceeding seven (7.0) paise/kWh] |
|        |                    |   |   |





## Renewable Energy Certificates(REC) Trading on Power Exchange by Trading Licensees

Name of the Trading Licensee: National Energy Trading And Services Limited

Licence Details (No &amp; Date) : Licence No.7/Trading/CERC dated: 23rd July 2004

Date of Trading: October 2023

| Sr. No.               | Name of REC Client | Client Type<br>(Renewable generator/<br>Obligated Entities) | Name of Power Exchange | Volume<br>(RECs) | Market Clearing<br>Price (Rs/REC) |
|-----------------------|--------------------|---|------------------------|------------------|-----------------------------------|
| <b>Non Solar RECs</b> |                    |   |                        |                  |                                   |
| 1                     | NIL                |   |                        |                  |                                   |
| 2                     |                    |   |                        |                  |                                   |
| 3                     |                    |   |                        |                  |                                   |
| 4                     |                    |   |                        |                  |                                   |
|                       |                    |   | <b>TOTAL</b>           | <b>0</b>         |                                   |
| <b>Solar RECs</b>     |                    |   |                        |                  |                                   |
| 1                     | NIL                |   |                        |                  |                                   |
| 2                     |                    |   |                        |                  |                                   |
| 3                     |                    |   |                        |                  |                                   |
| 4                     |                    |   |                        |                  |                                   |
| 5                     |                    |   |                        |                  |                                   |
| 6                     |                    |   |                        |                  |                                   |
| 7                     |                    |   |                        |                  |                                   |
| 8                     |                    |   |                        |                  |                                   |
| 9                     |                    |   |                        |                  |                                   |
|                       |                    |   | <b>TOTAL</b>           | <b>0</b>         |                                   |

Note: 1 REC = 1 MWh

Form IV-I

**Details of Over the Counter (OTC) Contracts Executed by Electricity Traders in Inter-State Market**

Name of the Trading Licensee: National Energy Trading And Services Limited (NETSL)

Licence Details (No & Date) : Licence No.7/Trading/CERC dated: 23rd July 2004

Month: October-2023

| Sr. No.  | Contract Date                    | Purchase Price | Sale Price | Contracted Quantity | Period of Contract                     |                                      | Purchased from |       | Sold To |       |
|----------|----------------------------------|----------------|------------|---------------------|--|--------------------------------------|----------------|-------|---------|-------|
|          | (dd/mm/yyyy)                     | (Rs/Kwh)       | (Rs/Kwh)   | (MU)                | Power Schedule Start Date (dd/mm/yyyy) | Power Schedule End Date (dd/mm/yyyy) | Name           | State | Name    | State |
| <b>A</b> | <b>ROUND THE CLOCK</b>           |                |            |                     |  |                                      |                |       |         |       |
| 1        | NIL                              |                |            |                     |  |                                      |                |       |         |       |
| 2        | NIL                              |                |            |                     |  |                                      |                |       |         |       |
| 3        | NIL                              |                |            |                     |  |                                      |                |       |         |       |
| 4        | NIL                              |                |            |                     |  |                                      |                |       |         |       |
| <b>B</b> | <b>PEAK</b>                      |                |            |                     |  |                                      |                |       |         |       |
| 1        | NIL                              |                |            |                     |  |                                      |                |       |         |       |
| 2        | NIL                              |                |            |                     |  |                                      |                |       |         |       |
| <b>C</b> | <b>OTHER THAN RTC &amp; PEAK</b> |                |            |                     |  |                                      |                |       |         |       |
| 1        | NIL                              |                |            |                     |  |                                      |                |       |         |       |
| 2        | NIL                              |                |            |                     |  |                                      |                |       |         |       |
| 3        | NIL                              | NIL            | NIL        | NIL                 |  |                                      |                |       |         |       |
| 4        | NIL                              | NIL            | NIL        | NIL                 |  |                                      |                |       |         |       |

Form IV-K

Electricity transacted by Trading Licensees in Real Time Market on Power Exchanges

Name of the Trading Licensee: National Energy Trading And Services Limited  
Licence Details (No & Date) : Licence No.7/Trading/CERC dated: 23rd July 2004

Month: October-2023

| Sr. No | Date of Delivery | Total Scheduled Volume (MUs) for each client | Purchased from                |       | Sold to                    |       | Remarks |
|--------|------------------|--|-------------------------------|-------|----------------------------|-------|---------|
|        |                  |  | Name of Seller/<br>Name of PX | State | Name of Buyer / Name of PX | State |         |
|        |                  |  |                               |       |                            |       |         |
|        |                  |  |                               |       |                            |       |         |
|        |                  |  |                               |       |                            |       |         |
|        |                  |  |                               |       |                            |       |         |
|        |                  | 0.0000000                                    |                               |       |                            |       |         |

Form IV-K Margin

| Sr. No | Name of the Client | Indian Energy Exchange  | PXIL  |
|--------|--------------------|---|---|
|        |                    | Margin charged (₹/kWh)[ trading margin of not less than zero (0.0) paise/kWh and not exceeding seven (7.0) paise/kWh] | Margin charged (₹/kWh)[ trading margin of not less than zero (0.0) paise/kWh and not exceeding seven (7.0) paise/kWh] |
|        |                    |   |   |



**Form M****Energy Saving Certificates (ESCCerts) Trading on Power Exchange by Trading Licensees**

Name of Trading Licencee:

**National Energy Trading And Services Limited**

Licence Details:

**Licence No.7/Trading/CERC dated: 23rd July 2004**

Month:

**Oct-23**

| Sr. No. | Name of Client | Sector | Client Type<br>(Seller/Buyer) | Name of Power<br>Exchange | Volume of<br>ESCCert | Price Discovered<br>(Rs/ESCCert) | Remarks |
|---------|----------------|--------|-------------------------------|---------------------------|----------------------|----------------------------------|---------|
| 1       | NIL            |        |                               |                           |                      |                                  |         |
|         |                |        |                               |                           |                      |                                  |         |

Note:

(1) 1 ESCert = 1 MTOE (Metric Tonne of Oil Equivalent)

**Trading of Renewal**

| <b>Sr. No.</b> | <b>Mode<br/>(through PX/<br/>Bilateral)</b> | <b>Purchased from</b>                              |   |
|----------------|---|--|---|
|                |   | <b>Name of Seller (Client)/<br/>Power Exchange</b> | <b>Client Type / Power<br/>Exchange</b> |
|                |   |  |   |



**ble Energy Certificates (REC) by Trading Licensees October 2023**

| <b>Sold To</b>                                    |   | <b>Volume (RECs)</b> | <b>Market Clearing Price/<br/>Sale Price<br/>(Rs/REC)</b> |
|---|---|----------------------|---|
| <b>Name of Buyer (Client)/<br/>Power Exchange</b> | <b>Client Type / Power<br/>Exchange</b> |                      |   |
|   |   |                      |   |

| Trading Margin Charged<br>(Rs/kWh) | Remarks |
|------------------------------------|---------|
|                                    |         |

Form N

Green Day Ahead Power Exchange Transactions of Electricity by Trading Licensees

Name of Trading Licensee: **National Energy Trading And Services Limited**  
 Licence Details: **Licence No.7/Trading/CERC dated: 23rd July 2004**  
 Month: **Oct-23**

| Sr. No. | Date of Delivery<br>(DD-MM-YYYY) | Scheduled Volume under GDAM (MUs) | Purchased From                |                            |       | Sold To                      |       | Remarks |
|---------|----------------------------------|-----------------------------------|-------------------------------|----------------------------|-------|------------------------------|-------|---------|
|         |                                  |                                   | Name of Seller/<br>Name of PX | Type<br>(Solar/ Non-Solar) | State | Name of Buyer/<br>Name of PX | State |         |
|         |                                  |                                   |                               |                            |       |                              |       |         |
|         |                                  |                                   |                               |                            |       |                              |       |         |
|         |                                  | 0.0000000                         |                               |                            |       |                              |       |         |

Form N Margin

Margin charged by Trading Licensees for Transactions in Green Day Ahead Market on Power Exchanges

| Sr. No. | Name of the Client | IEX                     | PXIL                    | Remarks |
|---------|--------------------|-------------------------|-------------------------|---------|
|         |                    | Margin charged (Rs/kWh) | Margin charged (Rs/kWh) |         |
|         |                    |                         |                         |         |

**Margin charged by Trading licensees for transactions in High Price Day Ahead**

|  | Name of the Client | IEX                     | PXIL                    |
|--|--------------------|-------------------------|-------------------------|
|  |                    | Margin charged (Rs/kWh) | Margin charged (Rs/kWh) |

**and Market on Power Exchanges-October 2023**

| <b>HPX</b>                         |                |
|------------------------------------|----------------|
| <b>Margin charged<br/>(Rs/kWh)</b> | <b>Remarks</b> |

**High Price Day Ahead (HP-DAM) Power Exchange Transactions of Electricity**

| <b>Sr. No.</b> | <b>Date of Delivery<br/>(DD/MM/YYYY)</b> | <b>Scheduled Volume<br/>under HP-DAM<br/>(MUs)</b> | <b>Purchased From</b>                 |  |
|----------------|--|--|---------------------------------------|--|
|                |  |  | <b>Name of Seller/<br/>Name of PX</b> | <b>Type<br/>(Imported Coal/<br/>Gas/ BESS)</b> |
|                |  |  |                                       |  |

**Activity by Trading Licensees-October 2023**

|              | <b>Sold To</b>                       |              | <b>Remarks</b> |
|--------------|--------------------------------------|--------------|----------------|
| <b>State</b> | <b>Name of Buyer/<br/>Name of PX</b> | <b>State</b> |                |
|              |                                      |              |                |

Gre

| Sr. No. | Date of Delivery<br>(DD/MM/YYYY) | Scheduled Volume under GDAM (MUs) |
|---------|----------------------------------|-----------------------------------|
|         |                                  |                                   |
|         |                                  |                                   |
|         |                                  |                                   |



en Day Ahead Power Exchange Transactions of Electricity by Tr

| Purchased From             |                       |       |
|----------------------------|-----------------------|-------|
| Name of Seller/ Name of PX | Type(Solar/Non-Solar) | State |
|                            |                       |       |
|                            |                       |       |
|                            |                       |       |

**Trading Licensees**

| Sold To                   |       | Remarks |
|---------------------------|-------|---------|
| Name of Buyer/ Name of PX | State |         |
|                           |       |         |
|                           |       |         |
|                           |       |         |